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05/10/01
11044 U.S. PTO

JC971 U.S. PTO
09/854128
05/10/01

Attorney Docket Number : 99-111CIP1
First Inventor or : Thomas Boussie, et al.
Application Identifier
Title : **Polymer Libraries on a Substrate, Method of Forming Polymer Libraries on a Substrate and Characterization Methods with Same**
Express Mail Label No. : EL 498560479US
Date of Deposit : May 10, 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above, addressed to: Assistant Commissioner of Patents, Box Patent Application, Washington, D.C. 20231

By: Renee Ramanita

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

UTILITY PATENT APPLICATION TRANSMITTAL

APPLICATION ELEMENTS

1. ☒ FEE

TOTAL AMOUNT OF PAYMENT: \$ 3,284.00

METHOD OF PAYMENT

The Commissioner is hereby authorized to charge the filing fee and any other fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

09/854128 "05/10/01"

FEE CALCULATION

BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
SUBTOTAL (1)					(\$ 710.00

EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	148 -20** = 128	X 18	= \$2,304.00
Independent Claims	3 -3** = 0	X 80	= \$
Multiple dependent claims (first appearance) \$ 270/135			\$270.00
SUBTOTAL (2)			(\$ 2,574.00

**or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

2. ☐ Applicant claims small entity status. 37 C.F.R. § 1.27.
3. ☒ Specification [Total Pages 30]
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ____]
5. ☒ Oath or Declaration [Total Pages 3]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed) [Note Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33 (b)
6. ☒ Application Data Sheet 37 C.F.R. §1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission ☐ Computer Readable Form (CRF)
(if applicable, or necessary) ☐ Spec. Sequence Listing on
☐ CD-ROM or CD-R (2 copies)
☐ paper
☐ Stmt. verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet and document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i)
(attach PTO/SB/35 or equivalent)
17. ☐ Other:
18. **IF A CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) ☐ Non-Provisional of Provisional
- of prior application No: 09/567,598
- Prior application information: Examiner: unknown Group/Art Unit: 1743;
- which is a Continuation-in-part (CIP) of prior application No. 09/156,827
- Prior application information: Examiner: B. Celsa Group/Art Unit: 1627

09/567,598

18. CORRESPONDENCE ADDRESS:

☒ Customer Number or Bar Code Label

Customer No. 22905
(Insert Customer No. or Attach bar code label here)

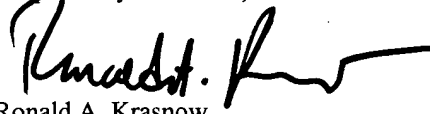
or

☐ Correspondence Address below:

Date:

8 May 01

Respectfully submitted,



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09054120-051001
FOOTNOTES: 02745060